

## **Provider Data Self-Assessment Toolkit (DSAT) v9.00 – Proposed Reports**

The DSAT for 2008/09 will be released in at least 3 phases:

- Phase 1 Release - Employer Responsive (ER) Reporting;
- Phase 2 Release - Updates/ Additions to Phase 1 Release and to include Learner Responsive (LR) Reporting; and
- Phase 3 Release - Updates/ Additions to Phase 1 and 2 Releases and to include Cross-Collection (XC), Cross-Provider (XP) and Cross-Year (XY) Reporting.

Rpt ID	Menu Name	Title	Purpose	Logic	Implications	Notes	LR	ER	XC	XP	XY
<b>Provider Profile</b>											
90-00-000	Provider Profile	Provider Profile	To give an overall summary of a providers delivery as recorded in their ILR. The report will assist in the LSC's assessment of the providers risk profile. It is likely to contain a view of key risk areas such as: <ul style="list-style-type: none"> <li>• The volumes of higher and lower rates of Train to Gain;</li> <li>• The quantity of long learning aims &lt; 24 weeks; and,</li> <li>• The number of first and second level two learning aims</li> </ul>	Tbc	To be used by providers to give them an indication as to their performance based on their submitted ILR.	To be issued with 3 <sup>rd</sup> release due in late Nov 2008	Y	Y	-	-	-
<b>General Reports</b>											
90-01-005	Report Logic by Report	Report Logic by Report	To identify the reports available in the Data Self-Assessment Toolkit (DSAT) for user reference.	This report lists the descriptions for each individual DSAT report.	Nil.	80-01-01 80-05-01 80-10-01	Y	Y	Y	Y	Y
90-01-010	Report Summaries	Report Run Summaries	To identify the results of available reports from the Provider Data Self-Assessment Toolkit (PDSAT) that have been run.	Listing from recorded results.	Nil.	New	Y	Y	Y	Y	Y
90-01-015	LIS Reported Data Errors Report	DSAT Reported Data Errors/Warnings Report	To enable providers to view the errors reported by LIS.	This report lists all the errors that were encountered by LIS during the Import, Validation and Calculation processes.  NB. Records contained in this report may directly affect the DSAT reports.	If there are Errors (Severity = 1) in this report, the ILR may not be considered to be a valid ILR and consequently an audit should not be carried out.	80-01-02	Y	Y	Y	Y	Y
90-01-100	All Continuing Learners	All Continuing Learners	To identify all learners who are continuing into the next funding year.	This report lists all Learners, and their Learning Aims, where the Learning Aim is coded as Continuing.	This report may show a number of records where the learners have passed their expected end date. This report should be reviewed in order to ensure that the learners are still continuing with their learning aim and have not completed. It may also identify where inappropriate programme durations have been used in the ILR.	80-04-09	Y	Y	-	-	-
90-01-105	All Additional Support	All Additional Support Learners	To identify all learners for whom the Provider has indicated that Additional Support for the delivery of their Learning Aim(s) has been claimed or is likely to be claimed.	This report lists all those Learners, and their Learning Aims, where the Learner has - Additional Support (L29) set to 41 or 71 - Additional Learning Needs (A53) set to 11, 12 or 13; and/or - Additional Support Cost (L31) is > 0.	Listing report. This is a complete list of all learners for whom additional support funding has been claimed or the Provider has indicated there may be a claim. It can be used to identify any learner receiving more than £19,000, where LSC approval is required.	80-03-12	Y	-	-	-	-
90-01-110	HEFCE Funded Learners	All HEFCE Funded Learners	To identify learners where their Learning Aim(s) are supported by HEFCE funding.	This report lists all those Learners where their Learning Aim(s) is coded as being funded by the HEFCE.(A11 = 001)	Listing report. A systematic claim of FE funding for HE learners is not expected.	80-03-11	Y	-	-	-	-
<b>Summary Reports</b>											
90-02-005	Fee Remission Reason	Learner Numbers by Fee Remission Reason	To allow Providers to review the number of learners, by learning aim, coded as being eligible for Fee Remission. The learner numbers can be grouped by age group 16-18 and 19+.	This report gives the numbers of Learners, by learner aim, who are coded as having Fee Remission. Within each learning aim the learner numbers are grouped by age, 16-18 and 19+. The report is grouped by Fee Remission Reason.	This report can be used in conjunction with the Fee Remission testing, to ensure full coverage has been obtained of all fee remission categories. It also is a useful analytical tool as it provides an analysis of the Fee Remission Funding being claimed by Learning Aim.	80-01-03	Y	-	-	-	-
90-02-010	Disadvantaged Uplift	Disadvantaged Uplift by Category	To identify all learners who are flagged as qualifying for a Disadvantaged uplift and the uplift factor is greater than 1.00. The report is ordered by uplift category.	The report lists Learners, and their Learning Aims where the Disadvantaged Uplift is set to eligible and the Disadvantage Uplift Factor is greater than 1.00.	This listing report can be used by a reviewer to identify the categories that have been included in the ILR. A good analytical review tool.	80-03-06	Y	-	-	-	-

Rpt ID	Menu Name	Title	Purpose	Logic	Implications	Notes	LR	ER	XC	XP	XY
90-02-015	Additional Support by Band	Additional Support by Band	To identify the number of learners per additional support band.	This report summarises by Additional Support Band, number of Learners and Total Funding.	To stratify the Providers Additional Support Funding claim, and show the number of learners by Additional Support Band. This can be compared year on year and an analysis of trends/fluctuations performed.	80-01-07	Y	-	-	-	-
90-02-020	Summary of Enhanced Funding	Summary of Enhanced Funding	The purpose of the report is to provide a summary of the claims of enhanced funding.	The report will count values by description from L28.	Nil.	New	Y	Y	-	-	-
90-02-100	Learners by Delivery Postcode	Learners by Delivery Postcode	To identify learners by Delivery Postcode.	During report creation user is required to select a postcode from available delivery postcode for listing.	The numbers of learners under each individual postcode may be cross referenced from this report with the data in 80-03-15.	80-03-16	Y	Y	-	-	-
90-02-105	Learner Aims by Delivery Postcode	Learner Aims by Delivery Postcode	To identify by delivery post code the number of learning aims.	The report lists by Delivery Postcode the Number of Learning Aims and shows the funding associated with the delivery.	This report should be analysed in conjunction with knowledge of the Provider and its off-site/Partnership provision.	80-03-15	Y	Y	-	-	-
90-02-110	Funding by Provider-Partner	Funding by Provider-Partner	To identify the funding generated by Franchise arrangements. This report is based on the values in ILR fields A21 and/or A22.	This report contains the Funding delivered under Franchise arrangements. It is grouped by Franchise provider/partner and lists the funding associated with each provider/ partner. Users should note that only funding in the 2008/09 Academic Year is reported.	This DSAT Report can be used to determine which Franchise and Partnership partners have been flagged. It should be compared to those notified during the planning and controls work for accuracy and completeness.	80-01-04	Y	-	-	-	-
90-02-115	In-year Withdrawal Profile by LA	In-year Withdrawal Profile by Learning Aim	To identify learning aims showing the in-year retention.	To identify by Learning Aim the percentage of Learners who have withdrawn (A34 = 3) against the total number of learners who have started the Learning Aim. All starts are included regardless of the value in A34.	To be used in conjunction with withdrawals testing.	80-01-05	Y	-	-	-	-
90-02-120	Leavers who have not Achieved	Leavers who have not Achieved	This report will identify all learners who have left their programme without achieving their main learning aim.	Main Learning Aim is the aim with highest AGLH for the Learner. A34=3; A35=3.	For information purposes.	80-08-05	Y	Y	-	-	-
90-02-200	Total LSC Tuition Fees	Total LSC Tuition Fees	To identify total fees charged.	This reports is based on the total value of A13 where A10 = 21, 45 Or 46 LSC FE Funding.	This report lists out the total fees paid per learning aim. This report should be reviewed in conjunction with the Providers agreed LSC target for tuition fees.	80-01-08	Y	Y	-	-	-
90-02-900	Eligibility Prior Attainment	TtG Learner Eligibility - Prior Attainment	The purpose of the report is to identify Train to Gain learners with their Learning Aims and Funding where they may be ineligible due to an incorrect prior attainment level.	The report lists all Train to Gain Learners whether they are Train to Gain Funded (A10 = 45) Listed where L35 is not equal to 01, 07, 09, 97, 98 or 99.	If the learner is on this report they may be ineligible for Train to Gain Funding.	80-11-02	-	Y	-	-	-
90-02-905	TtG by LLSC	TtG Learner Count by LLSC	For all Train to Gain Learners in the Provider, the report provides a listing of the numbers of learners with the Area Cost Factor by Funding LLSC.	For TtG Learners, the Area Cost Factor, Funding per period. Achievement Funding per period and Count of Learners by Funding LLSC.	Nil.	80-10-03	-	Y	-	-	-
90-02-910	TtG by LLSC, Postcode	TtG Learner Count by LLSC and Delivery Postcode	For all Train to Gain Learners in the Provider, the report provides a listing of the numbers of learners by Funding LSC and Delivery Postcode with the Area Cost Factor, and Funding.	For TtG Learners, the Area Cost Factor, Funding per period. Achievement Funding per period and Count of Learners by LLSC and Delivery Postcode.	Nil.	80-10-04	-	Y	-	-	-
<b>Listing Reports</b>											
90-03-005	Possible Duplicate Learners	Possible Duplicate Learners	To indicate to Providers that there may be a duplicate record(s) for a learner. The report cannot identify twins where they have the same initials.	This report lists mutually exclusively those Learners where the following duplicated combinations are valid: - Family name, Date of Birth, Gender and Postcode (Type 1); - Family name, Date of Birth and Gender (Type 2); or - Date of Birth, Gender and Postcode (Type 3).	No duplicates are permitted, however twins may appear on this report. The Provider should be able to demonstrate that this is the case. Where duplicates exist, an adjustment to the ILR or the Funding claim may be required.	80-02-08 80-06-05 80-11-07	Y	Y	Y	Y	Y
90-03-010	Possible Duplicate Learning Aims	Possible Duplicate Learning Aims	To identify learners who appear to be following the same learning aim more than once during any period.	This report lists possible duplicate Learning Aims for an individual learner. Load banded and Listed Aims are flagged. It excludes transferred records	No duplicates are permitted, however twins may appear on this report. The Provider should be able to demonstrate that this is the case. Where duplicates exist, an adjustment to the ILR or the Funding claim may be required.	80-02-09 80-02-10 80-06-06 80-11-08	Y	Y	Y	Y	Y
90-03-050	Prior Attainment	Prior Attainment	This report is to identify all learners who have a Prior Attainment Level (L35) that is the same or higher than the level of their main Learning Aim.	See purpose.	The learner's prior attainment should be reviewed in order to ensure that they are not undertaking a level of qualification that they have already achieved (Note academic versus vocational qualifications).	80-06-04	Y	Y	-	-	-
90-03-100	Learners Under 16	Learners Under 16	Learners under age of 16 at the start of learning.	See purpose.	These learners should be confirmed as being eligible for LSC funding either having started their apprenticeship after the Last Friday in June, or having LSC Approval.	80-02-12 80-06-09	Y	Y	-	-	-
90-03-150	Scottish, Northern Ireland and Welsh Postcodes with LSC Funding	Scot, NI, Welsh Postcodes with LSC funding	To identify any learners whose home postcode indicates that they normally live in N Ireland, Scotland, Wales, Channel Islands or Isle of Man and funding is generated.	This report lists Learners who have N Irish, Scottish or Welsh, Channel Islands or Isle of Man postcodes. The report lists their Learning Aims, funding, home postcode, the delivery postcode and their country of domicile.	This report should be matched with the expectations for each provider. Significant numbers of learners may require LSC approval.	80-02-14 80-06-14	Y	Y	-	-	-

Rpt ID	Menu Name	Title	Purpose	Logic	Implications	Notes	LR	ER	XC	XP	XY
90-03-155	Postcodes that are Generic or Null	Postcodes Generic or Null	To allow providers check those learners where their home post code is entered as a generic, Null or an error is detected.	This report lists Learners with home postcodes that are ZZ99 9ZZ, ZZ-- ---, ---- ZZZ and Null. (L17 plus).	The Provider should ensure that where practicable that all postcodes are collected as it could result in an under claim of disadvantage funding. Significant entries may affect the correct generation of the Provider Factor.	80-03-08 80-07-01	Y	Y	-	-	-
90-03-160	Disadvantaged Uplift for postcode reason mis-matched to LSC's Postcode file	Postcode Uplift Mismatch	To allow Providers to check that they are claiming the correct Disadvantaged Uplift postcode factor.	This report list those learners where the recorded eligibility for Disadvantaged Uplift for learners from deprived area (ward based uplift) postcode on LSC's file, and where the recorded factor does not match the factor given in the LSC's file for postcode.	This report provides a list of all postcode uplifts used by the Provider that does not agree to those provided by the LSC.	80-02-15	Y	Y	-	-	-
90-03-165	Postcodes ZZ99 null or invalid	Postcodes that are ZZ99 9ZZ, ZZ99 --- and Null	To allow providers to check those learners where their home post code is entered as a generic or LIS has detected an error in the home postcode.	This report lists Learners with home postcodes that are ZZ99 9ZZ, ZZ99 ---, ZZ-- --- and Null or that have raised LIS errors. (L17 plus LIS errors).	The Provider should ensure that where practicable that all postcodes are collected as it could result in an under claim of disadvantage funding. Significant entries may affect the Provider Factor.	80-03-08	Y	Y	-	-	-
90-03-200	16-18 and not claiming Enhanced Funding	16 - 18 without Enhancement	To identify learners who are 16-18 and the Provider is not claiming Enhanced Funding.	This report lists those learners, and their learner aims, where the learner is aged 16-18 as at 31st August 2007 but Enhanced Funding is not claimed. The report includes Level 1 Key Skills and Basic Skills indicators to enable analysis of the reason why they are not in receipt of enhanced Funding.	This report lists any learners for whom enhanced funding has not been claimed. The Provider should understand the reasons for any inclusions on this report. Adjustments to the funding claim may be necessary.	80-02-05	Y	-	-	-	-
90-03-205	16 - 18 year old Learners with No Fee Remission	16 - 18 year olds with No Fee Remission	To identify 16-18 year old learners, yet the Provider is not claiming Fee Remission	The report lists Learners who were 16 to 18 at the start of their Learning Aim and no fee remission has been claimed.	All LSC funded learners aged 16-18 are entitled to fee remission.	80-02-22	Y	-	-	-	-
90-03-210	19+ Claiming Enhanced Funding	19+ Claiming Enhanced Funding	To identify learners who are 19+ at the start of their learning aim and for whom the provider is claiming 16-18 enhanced funding.	The report lists those Learner's and their Learning Aims where the learner is aged 19 or over at the start of their Learning Aim and where 16 - 18 enhanced funding is being claimed. The date difference in years between the Learner DoB and the Learning Aim Start Date is greater than or equal to 19 and (L28a = 14 or 15 or L28b = 14 or 15).	These learners may need to be confirmed as being eligible to attract enhanced funding.	80-02-03 80-06-08	Y	Y	-	-	-
90-03-215	19+ year olds with 16-18 fee remission claimed	19+ with 16 - 18 Fee Remission Claimed	To identify all 19+ learners where there fee remission reason is 01 (16-18 learner).	To identify all 19+ learners (based on minimum A_AGEST >18) where the Fee Remission Reason (A14 = 01).  A_AGEST is age of the Learner based on the data in field L11 in the Learner Table in the LIS_EXP.mdb file subtracted from 31st August 2006.	These learners should be reviewed in order to ensure that the Learner and their aims are eligible for 16-18 fee remission funding.	80-02-21	Y	-	-	-	-
90-03-220	Learners with Possible Incorrect Fee Remission 09	Possible Incorrect Fee Remission 09	To identify Learners where the Provider has claimed Fee Remission (A14 = 09) related to basic skills programmes yet the Learner's programme does not contain a learning aim designated as a Basic Skill or the LAD Programme Weighting Factor is not 1.4.	The report lists all Learners, and their Learning Aims where the Learner has one or more Learning Aims that have the Reason for Partial or Full Non-Payment of Tuition Fees (PNP) set to waived (9) and the Learning Aim is not designated as a Basic Skill or the Programme Weighting Factor is not 1.4.	Fee remission funding for basic skills is not available to learners not undertaking a programme of learning with a 1.4 programme weighting factor. These learners should be excluded from the funding claim.	80-02-23	Y	-	-	-	-
90-03-225	Fee Remission Level 2 Entitlement	Fee Remission Level 2 Entitlement	The purpose of the report is to show learners for whom Level 2 Entitlement has been claimed inappropriately.	The report will list all Learners where they are on Learner Responsive or Train to Gain provision and they are not 19 or over on their first full Level 2 programme.	The provider should be able o justify any inclusions on this report.	New	Y	Y	-	-	-
90-03-230	Fee Remission Level 3 Entitlement	Fee Remission Level 3 Entitlement	The purpose of the report is to identify learners where Level 3 Fee Remission has been inappropriately claimed.	The report will list all learners who are Less than 19 and greater then 25 where A14 has been set to 24 - 19-25 Level 3 Entitlement - only available for Learner Responsive Funded Provision	The provider should be able o justify any inclusions on this report.	New	Y	-	-	-	-
90-03-250	Learners with Multiple NVQs	Multi NVQs	To identify learners who appear to be studying for more than one NVQ at the same time.  NB. All learning aims will be listed for such learners.	This report lists those learners who appear to be active for two or more NVQ Learning Aims. This is based on overlapping programme dates. Where there is such an overlap All of the Learner's Learning Aims are listed.	In accordance with the funding guidance learners should only undertake one full-time NVQ at any one time. In addition, the Provider should consider the appropriateness of undertaking NVQs at different levels or in similar areas.	80-02-01	Y	Y	-	-	-
90-03-255	Possible Miscoded NVQ's	Possible Miscoded NVQ's	The purpose of this report is to identify NVQ's where the main delivery method has been entered using a Learner Responsive value.	The report will list all learning aims where the Learning Aim Type is set to 0036 and A18 is less than 14.	Nil.	New	-	Y	-	-	-

Rpt ID	Menu Name	Title	Purpose	Logic	Implications	Notes	LR	ER	XC	XP	XY
90-03-300	Under 19s on Access to HE Courses	Learners under 19 attending Access to HE Courses	To identify all learners that are studying Access to HE Learning Aims who are under the age of 19 at the start of the Learning Aim.	This report lists all Learners that are studying Access to HE Learning Aims that are under the age of 19 at the start of the Learning Aim. Access to HE courses are identified using the LIS derived field A_ATYPE = 6.	Review for reasonableness as there should not be many entries. LSC approval may be required.	80-04-05	Y	-	-	-	-
90-03-350	16 - 18 Learners <= 9 GLH	16 - 18 Learner with Learning Aims with less than or equal to 9 Guided Learning Hours (GLH)	To identify Learners who were 16-18 at the start of the academic year but have learning aims with less than 9 GLH.	This report lists Learners, and their Learner Aims where the Learner was 16-18 year old at the start of the Learning Aim, the Learning Aim is not delivered by distance learning or a Taster Learning Aim, has less than 9 guided learning hours and is supported by LSC funding.	Learners aged under 19 are not expected to undertake LSC funded learning aims of less than 9 hours (some exceptions noted for Basic Skills). The funding claim may need to be adjusted.	80-02-18	Y	-	-	-	-
90-03-355	Learning Aims with more than or equal to 9 GLH in one day.	Learning Aims on or above 9 GLH	To identify learning aims completed in one day, where the guided learning hours recorded are 9 or greater.	This report lists a Learner's Learning Aim where the complete Learning Aim is delivered in more than 9 GLH in one day.	Aims that are delivered in more than 9 hours in one day are high risk. Providers should be able to demonstrate that the glh recorded on the ILR is being delivered.	80-02-19	Y	-	-	-	-
90-03-360	FT Learners on Short Programmes	FT Learners on Short Programmes	The purpose of the report is to identify full time learners who are on compressed learning aims.	The report will list learners where the duration of the Learning Aim is less than 24 weeks but the SLN value is greater than or equal to one.	The recording of inappropriate programme durations in the ILR may distort the funding claimed by the provider	New.	-	Y	-	-	-
90-03-365	High Weekly Hours	High Weekly Hours	The purpose of the report is to list learners who are shown as having high weekly guided learning hours.	The report lists learners who have greater than 30 guided learning hours per week using values from A27, A28 and in-year GLH.	The recording of inappropriate programme durations in the ILR may distort the funding claimed by the provider	New.	Y	-	-	-	-
90-03-370	High Learner Annual Guided Learning Hours(>800)	High AGLH	To identify learners where the total AGLH for all their learning aims is greater than 800hrs.	This report lists Learners and their Learning Aims where the sum of the in year Guided Learning Hours exceeds 800.	Learners are not expected to undertake programmes of more than 800 hours. The accuracy of the hours recorded for learners contained in this report should be reviewed.	80-02-20	Y	-	-	-	-
90-03-375	Capped Learning Aims	Learning Aims where the Cap has been applied	The purpose of this report is to identify all of a Learner's Learning Aims where the SLN Cap has been applied.	The report lists Learning Aims where the sum of the uncapped SLN's is greater than 1.75 the report only reports learning aims where the total funding generated is greater than 0 (zero).	This DSAT report is to assist Providers in identifying their provision which is subjected to the SLN Cap	80-03-17	Y	-	-	-	-
90-03-380	Possible Full Cost Courses	Possible Full Cost Courses	To identify learning aims where the fee paid by a learner is greater than 100% of the Funding with the removal of the impact of the Provider Factor. These Learners may be on courses which should be flagged as non-funded, or where LSC approval may be needed.	For All Courses - The report shows those learners who have paid fees approaching 100% of the funding generated with the removal of the Provider Factor	Providers should consider, learning aims that have been included as LSC funding is not available for learners who have paid more than 100% of funding with the removal of the Provider Factor.	80-03-10	Y	Y	-	-	-
90-03-385	Framework Achievement Exceptions	Framework Achievement Exceptions	To identify learners whose ILR record is showing a framework achievement date but learning outcomes are showing status incomplete.	A43 is not null; A34=2; A35<>1.	This is where at least one of a learner's qualifications is set as not achieved, but the Framework achievement date has been entered.	80-08-03	-	Y	-	-	-
90-03-600	Withdrawals on Short and Long Programmes	Withdrawals on Short and Long Programmes	To identify withdrawals near the start qualifying period.	The report shows learners where the expected duration of the Learning Aim is between 2 weeks and 24 weeks and the learner has withdrawn between 7 and 28 days after the Start Date, or where the expected duration of the Learning Aim is greater than 24 weeks but the learner has withdrawn between 35 and 56 days from the Start Date.	The systematic recording of learners withdrawals near a start qualifying period may require investigation by the provider to ensure that the data is accurate	New.	-	Y	-	-	-
90-03-605	Withdrawn Learners with Achievement	Withdrawn Learners with Achievement	To identify learners whose ILR record is showing as achieved and learner has withdrawn prior to planned end date.	A34=3; a35=1; A31<A28.	Significant learners of this type would not be expected.	80-08-02	Y	Y	-	-	-
90-03-610	Withdrawal and Achiever	Learners who are shown as having withdrawn from their course but have also achieved	To identify learners where they have been withdrawn from a Learning Aim yet they have achieved the aim.	This report details all those Learners, and the Learning Aim, where they are coded as withdrawn from the Learning Aim, but have also achieved that Learning Aim. (A34 = 3 and A35 <>3 and A35 <> 9).	It would be unusual to have a large number of withdrawn learners who achieve.	80-03-09	Y	Y	-	-	-
90-03-800	Learners past Expected End Date	Learners past their Expected End Date	To identify learners who are continuing in learning passed their planned end of learning date.	Where ILR Production Date > Learning Planned End Date (A28).	Identified number of learners who have past their expected end date. This report should be reviewed by the provider in order to ascertain whether these learners are still studying/have completed/withdrawn.	80-04-06 80-08-01 80-11-06	Y	Y	-	-	-
90-03-805	Leaving Date matches Census Date	Leaving Date matches Census Date	This report is to identify all learners who have left their programme without achieving their main learning aim on or shortly after (2 days) the NVQ monthly payment census date.	See Purpose.	Significant numbers of learners would not be expected to be withdrawn on this date.	80-08-06	-	Y	-	-	-
90-03-810	E2E Learner Attendance	E2E Learner Attendance	This report is to identify all learners who are remaining on their programmes beyond the expected duration of 22 weeks and who are drawing down additional funding.	The report lists learners where the difference between A31-A27 > 22 weeks meaning that additional funding needs to be agreed.	For information purposes.	80-07-07	Y	-	-	-	-

Rpt ID	Menu Name	Title	Purpose	Logic	Implications	Notes	LR	ER	XC	XP	XY
90-03-815	Early Completers	Early Completers	To identify learners, who complete a Learning Aim more than 14 days before the expected end date.	This report lists Learners and their Learning Aims where the Learning Aim has been completed more than 14 days before the expected end date.	This report should be reviewed in order to ensure that expected end dates are recorded accurately in the ILR	80-04-02	Y	Y	-	-	-
90-03-820	Completers with Unknown Outcomes	Completers who have Unknown Outcomes	To identify those learners and their Learning Aims who have completed the aim but the outcome is not known or they are flagged as having no intention to take the examination. The report also list those who have completed but are flagged as 'Study Continuing'	This report lists Learners, and their Learning Aims where the Learning outcome is null, the exam taken but outcome not known, or there is no intention to take an exam (A35 = 4 or A35 = 5).	This report will show a number of records where the learners achievement status is unknown. The Provider should review this in order to ascertain whether the learner's achievement status is known.	80-04-08	Y	Y	-	-	-
90-03-900	Quantity of TtG Funding	Quantity of Higher and Lower Rate Funding	The purpose of the report is to list Train to Gain learners with their Learning Aims and Funding where they are recorded as being in receipt of Higher and Lower Rate Funding.	The report lists all Train to Gain Learners whether they are Train to Gain Funded. The report lists those learners and their aims where the Main Delivery Method (A18) is set to 22 or 23.	Nil.	80-12-04 80-12-05 Merge with 80-12-05	-	Y	-	-	-
<b>Data Quality Reports</b>											
90-04-005	Learner Difficulty Disability Inconsistency	Learner Difficulty Disability Inconsistency	The purpose of this report is to identify learners where their Learner Difficulty/ Disability is inconsistent.	This report is to identify all learners who have declared they either have or do not have learner difficulties have correctly recorded this through codes 'L14-L16' i.e. no disability is 'L16 - 9', 'L15 - 98 or 99', 'L16 - 98 or 99' and 'A53 <97'	This can be reviewed during ALN/ASN testing, in order to ensure that appropriate evidence is in place to support the claim.	80-06-13	Y	Y	-	-	-
90-04-010	Duplicated NI Numbers	Duplicated NI Numbers	To identify all records where the same NI number appears.	The report looks at non-null NI Numbers and reports any duplicates found.	Each learner with the same NI number should be reviewed in order to ensure that there is no over claim of funding with the same learner enrolled twice for the same qualification.	80-07-03	Y	Y	Y	Y	Y
90-04-015	NI Numbers that are Null or Temporary	NI Numbers Null or Temporary	To identify NI numbers that are null or temporary.	The report checks the NI Number and ensures that it follows HM Revenue and Customs guidelines.	NI numbers should be input where possible.	80-07-02	Y	Y	-	-	-
<b>Additional Reports</b>											
90-05-005	Resuming Learners	Resuming Learners	To identify learners who have left a provider and then returned to learning with the same provider at a later date.	See purpose.	These aims should be reviewed in order to ensure that there is no over claim of funding (A51a - proportion of Funding - completed where appropriate).	80-06-07	-	Y	-	-	-
90-05-010	Aim Summary Details	Aim Summary Details	To provide summary details by learning aim.	This report summarises Learning Aims showing the number of Learners, GLH, Level and Funding by type associated with the Learning Aim.	Reasonableness check & use as part of testing of risk areas. This identifies the aims that have high funding and learner volumes.	80-01-06	Y	-	-	-	-
90-05-015	Learners on a Specified Learning Aim	Learners on a Specified Learning Aim	To identify learners on a Learning Aim that is entered by the user.	This report lists Learners on a specified Learning Aim. Users are requested to select the specific Learning Aim from a drop-down list of available aims.	This report will list individual learners under a specific learning aim for population identification purposes.	80-04-03	Y	-	-	-	-
90-05-020	Eligibility Valid Aim	Learner Eligibility - Valid Learner Aim	The purpose of the report is to identify Train to Gain learners with their Learning Aims and Funding where the Learning Aim is not a Level 2, Level 3 or Basic Skill.	The report lists all Train to Gain Learners whether they are Train to Gain Funded (A10 = 45). Listed where the Learning Aim is not a Level 2, Level 3 or Basic Skill.	If the learner is on this report they may be ineligible for Train to Gain Funding.	80-11-04	-	Y	-	-	-
90-05-025	Incorrect LA Achievement Timescales	Incorrect LA Achievement Timescales	This report is to identify all learners who have achieved their learning aims after the QCA Effective To Date.	The report lists all Learners Learning Aims where the Learning Aim Start Date (A27) is after the APPROVAL_END_DATE as listed in the LAD ALL_ANNUAL_VALUES![APPROVAL_END_DATE] field.	These aims should be reviewed in order to identify whether the correct learning aim is being used.	80-06-11	Y	Y	-	-	-
90-05-030	Listed/Load Banded, Franchise or Partner	List of Learners on Listed and Load Banded Learning Aims Delivered through Franchise and Partnership Arrangements	To provide a list of learners where the learning is delivered by a franchise partner.	This report lists Learners and their Learning Aims where the Aim is delivered through a franchising partner (A21 is less than 99) and/or A22 is not null. The list is sorted by Partner, Arrangement then Learning Aim. The report indicates whether the Learning Aim Is listed or load banded.	This listing report will support the identification and verification of all partnership provision that the Provider is delivering and whether the aim is listed or load banded.	80-03-02	Y	-	-	-	-
90-05-100	PT 16 - 18 Claiming Enhanced Funding	PT 16 - 18 Claiming Enhanced Funding	To allow Providers review learners aged 16-18 who appear to be part-time and for whom Enhanced Funding is being claimed.	This report lists those Learners who were aged 16 to 18 as at 31st August 2007 and appear to be part time and are flagged for and receiving Enhanced Funding.  Part time is based on the LIS derived field L_FTLP having a value less than 1.	The Provider should be able to show that the learners contained are in fact full-time learners. Where this cannot be done then the enhanced funding should not be claimed.	80-02-02	Y	-	-	-	-

Rpt ID	Menu Name	Title	Purpose	Logic	Implications	Notes	LR	ER	XC	XP	XY
90-05-105	All Learners Claiming Enhanced Funding without Key or Basic Skills	16-18 without Key or Basic Skills	To identify all learners where the Provider is claiming Enhanced Funding yet the learner's programme does not include Key or Basic Skills.	The report lists all Learners (regardless of age), and all their Learner Aims, where Enhanced Funding is being claimed but without the Learner having a Basic/Key Skills Learning Aim (Key Skills Code - value 1 or 2 in the LAD), (Programme Weighting Factor not set to 'F' in the LAD) or (Basic Skill not 'Y' in the LAD).	The Provider should be aware that they do not automatically generate the funding for key skills or basic skills unless it is included in the ILR as part of the learners programme,	80-02-04	Y	-	-	-	-
90-05-150	Learners Delivery Methods 02 04 05	List of Learners by Main Delivery Methods 02, 04 and 05	To identify learners by learning aim where the main delivery method indicates that the aim was - Achieved through accreditation of prior learning, on open learning or e-learning.	To identify Learners by Learning Aim where the Main Delivery method (A18) indicates that the Learning Aim was achieved through Accreditation of Prior Learning (APL) (A18 = 04), On Open Learning (A18 = 02), On e-Learning (A18 = 05).	Listing report - identifies all Open Learning, APL and E Learning provision.	80-03-03	Y	-	-	-	-
90-05-155	Distance Learning	List of Learners on Distance Learning Courses	To identify those learners studying load banded Aims by Distance Learning and to show the associated funding.	The report lists Learners by Learning Aim for Load banded Learning Aims where they are completed by Distance Learning. These are identified by A52 containing a value other than zero and A18 = 03(distance learning).	This listing report can be compared to the courses planned or in the planning questionnaire to ensure completeness. It can be used by the Provider to ensure sufficient coverage is obtained as part of the substantive audit. It can be used to see if the rates agreed with the LSC have been accurately recorded within the Provider's ILR.	80-03-04	Y	-	-	-	-
90-05-200	ALN ASN with Funding Type Apprentice	Additional Learning Needs/Additional Support Needs with Funding Type Apprentice/Advanced Apprentice	To identify learners with ALN/ASN status who are in learning on Apprentice and Advanced Apprentice learning programmes.	The report looks for A15 equal to 2 or 3 and reports where A53 is not equal to 98.	This report should be used in conjunction with ALN/ASN Testing.	80-07-04	-	Y	-	-	-
90-05-205	ALN ASN Listing	ALN/ASN Listing Report	To identify all learners with ALN/ASN status and the percentage of ALN/ASN learners within whole learner population.	The report compares ALN/ASN Learners (A53 < 98) and gives the percentage of the total learners.	This report should be used in conjunction with ALN/ASN Testing.	80-07-05	-	Y	-	-	-
90-05-250	Jobcentre Plus - Basic Skills	Jobcentre Plus - Basic Skills	To identify all learners who are on a Jobcentre Plus initiative with Basic Skills.	The report lists learners where A10 = 80 and A46 = 27 or 66.	For information purposes.	80-07-09	-	Y	-	-	-
90-05-255	Employment Status Exceptions	Employment Status Exceptions	To identify learners on Apprentice/Modern Apprentice programmes whose employed status is showing as employed but the placement employer name is not recorded.	EX1 - A26 is not null and is not zero; A44 is null; L37 = 1. EX2 - TECHNICAL_CERTIFICATE = 0 or null.	For information purposes.	80-08-04	-	Y	-	-	-
90-05-500	LSC Funding Stream	LSC Funding Stream	This report is to identify learning aims for additional units that are not coded as 99 in field A10 (that are not fundable by the LSC).	(A10 = 46 or 46 and Key Skill Code > 2) OR (A10 = 45 or 46) and Technical Certificate = 0) OR (A10 = 45 or 46 and Learning Aim Type Code = 0036).	These aims should generally be coded as not funded by the LSC.	80-06-12	-	Y	-	-	-
90-05-505	ESF Learner Listing	European Social Fund Learner Listing	To identify learners whose provision is part or wholly funded by ESF.	This report lists Learners, and their learning aims where the provision is part funded by the European Social Fund. The report is divided by 'Traditional' ESF funding, by Co-financing arrangements and by Matched funding.	Listing report. The coding should be checked and a completeness check undertaken to ensure that all ESF learners have been flagged.	80-03-05	Y	-	-	-	-
90-05-510	National Initiatives	Learners attending Courses under National Initiatives	To identify learners with learning aims flagged as being delivered as part of a National Initiative.	This report lists Learners, and their Learning Aims, with the associated funding where the record indicates that the learning activity is part of a National Initiative. (A46 < 999).	Listing report. Should be used to ensure that the learners have been appropriately recorded.	80-03-07	Y	-	-	-	-
90-05-515	Non-National Funded Learners	Non-National LSC Funded Learners (excl HE)	To identify learners who are not funded by the National LSC or on a HE learning aim.	(A11a > 2 and < 541) or (A11b > 2 and < 541)	Listing report. Will identify local initiatives.	80-03-01	Y	-	-	-	-
90-05-520	ESF Co-Financing Not Flagged	ESF Co-Financing Not Flagged	To identify learners who are not flagged for ESF co-financing.	The report lists learners where A06 is set to zero.	This may need confirming to LSC Expectations of the Level of ESF Co-financing.	80-06-10	Y	Y	-	-	-
90-05-800	Leavers Listing Report	Leavers Listing Report	To identify all learners who have left learning by month of leaving.	A listing of learners by their month of leaving.	Significant numbers of learners who have left in certain months should be further investigated by the provider.	80-07-06	-	Y	-	-	-
90-05-805	No Reason for Ending Learning	No Reason for Ending Learning	To identify all learners who have not got a reason for learning ending completed (A50).	The reports all learners where the value in Field A50 shows No Reason for Leaving.	For information purposes.	80-07-08	-	Y	-	-	-
90-05-900	Non-Funded TiG Learners	Non-Funded Train to Gain Learners	The purpose of the report is to identify all Train to Gain Learners who have no FE or Train to Gain Funding shown.	The report lists all Train to Gain Learners whether they are Train to Gain Labelled (A10 = 45) but no funding has been calculated by LIS.	Indicates that the provider may not have updated their version of the LIS Dictionaries by not taking the provider update from the LSC Web Site.	80-11-05	-	Y	-	-	-