

Annex B

Document History

Version 1.0 published 20 April 2007. Changes from the 2006/07 specification are highlighted.

Version 2.0 published 25 May 2007. Rule for migration of UKPRN changed for fields L46, A56 and E25 to set the UKPRN from PIMS. Changes from version 1 are highlighted in green.

Migration Specification for Work based learning and ESF short record data for 2007/08

- 1 This Annex describes the rules for migrating WBL and ESF short record format ILR data from 2006/07 to 2007/08.
 Section A describes the migration rules for WBL data
 Section B describes the migration rules for ESF short record data.
- 2 A spreadsheet will be provided for transfers between providers or local LSCs that indicates which provider number and LSC number the data should be migrated to.
- 3 From 2007/08 all Train to Gain (TtG) data will be returned in the WBL collections. Due to the small number of providers and learners concerned, data for providers who returned TtG records through FE in 2006/07 will not be migrated. Providers will be expected to return a WBL file in period 1 of 07/08 containing continuing Train to Gain learners. Guidance and a separate migration specification document has been issued to the providers and software suppliers affected.

Section A

Migration of Work based learning providers data

- 4 The following learner and learning aim records should be migrated:
 - all learner records which have at least one learning aim record where the actual end date field A31 = null or where the destination field, L39 = 93 or 94; and
 - all learning aim records where the learning actual end date, field A31 = null; and
 - all learning aim records where the learning actual end date, field A31 is not null and the learner has a least one open aim with the same Provider number A01, Learner reference number A03, Programme type A15 and sector framework code combination A26; and
 - all learning aims records where L39 on the associated learner record = 93 or 94.

No.	Field Name	Migration rule	Notes
L01	Provider number	If not a transfer copy from 2006/07 otherwise set as per mapping spreadsheet	Where the provision has transferred mapping spreadsheet will be supplied.
L03	Learner reference	Copy from 2006/07 unless	

No.	Field Name	Migration rule	Notes
L04	number Data set identifier code	this will lead to a duplicate L01/L03 combination, in which case a new Learner Reference Number should be generated. Copy from 2006/07	
L05	Learning aim data sets	Set to the number of aims migrated.	Where L05 changes as a result of migration fields A05 and E05 on the associated record and ESF dataset (if present) will need to be re-calculated
L06	ESF co-financing data sets	Copy from 2006/07	
L07	HE data sets	Copy from 2006/07	
L08	Deletion flag	Copy from 2006/07	
L09	Learner surname/family name	Copy from 2006/07	
L10	Learner forenames	Copy from 2006/07	
L11	Date of birth	Copy from 2006/07	
L12	Ethnicity	Copy from 2006/07	
L13	Sex	Copy from 2006/07	
L14	Learning difficulties and / or disabilities and / or health problems	Copy from 2006/07	
L15	Disability	Copy from 2006/07	
L16	Learning difficulty	Copy from 2006/07	
L17	Home postcode	Copy from 2006/07	
L18	Address line 1	Copy from 2006/07	
L19	Address line 2	Copy from 2006/07	
L20	Address line 3	Copy from 2006/07	
L21	Address line 4	Copy from 2006/07	
L22	Current Postcode	Copy from 2006/07	
L23	Telephone	Copy from 2006/07, all	To comply with MIAPP CDD

No.	Field Name	Migration rule	Notes
	number	spaces should be removed. The '+' symbol should be replaced by 00	the telephone number should be entered with no spaces
L24	Country of domicile	Copy from 2006/07	
L25	LSC number of funding LSC	If not a transfer copy from 2006/07 otherwise set as per mapping spreadsheet	
L26	National insurance number	Copy from 2006/07	
L27	Restricted use indicator	Copy from 2006/07	
L28	Eligibility for enhanced funding (occurs 2)	Copy from 2006/07	
L34	Learner support reason (occurs 4)	Copy from 2006/07	
L35	Prior attainment level	Copy from 2006/07	
L36	Learner status on last working day before learning	Copy from 2006/07	
L37	Employment status on first day of learning	Copy from 2006/07	
L38	Employment status on last day of learning	Set to null	Field removed for 2007/08
L39	Destination	Copy from 2006/07	
L40	National learner monitoring (occurs 2)	Copy from 2006/07	
L41	Local learner monitoring (occurs 2)	Copy from 2006/07	
L42	Provider specified learner data (occurs 2)	Copy from 2006/07	
L44	NES delivery LSC number	If the LSC number from the Mapping Spreadsheet for 2006/07 <->002 and 2007/08	

No.	Field Name	Migration rule	Notes
		<p>= 002 then set L44 to the 2006/07 LSC number from the mapping spreadsheet.</p> <p>If the LSC number from the Mapping Spreadsheet for 2006/07 = 002 and 2007/08 <> 002 then set L44 = Null.</p> <p>Otherwise copy from 2006/07</p>	
L45	Unique learner number	Copy from 2006/07	
L46	UK Provider reference number	Set to the UKPRN for the 0708 UPIN as listed in PIMS	
L47	Current Employer status	Set to value of employment status field, L37	New field for 2007/08
L48	Date Employment Status Changed	Set to null	New field for 2007/08

No.	Field Name	Migration rule	Notes												
A01	Provider number	If not a transfer Copy from 2006/07 otherwise set as per mapping spreadsheet.	Where the provision has transferred mapping spreadsheet will be supplied.												
A02	Contract / Allocation type	If A10=60 (TtG) then A02 should be set to 00 otherwise copy from 2006/07	The null value should be used for TtG												
A03	Learner reference number	Copy from 2006/07 unless this will lead to a duplicate L01/L03 combination, in which case a new Learner Reference Number should be generated													
A04	Data set identifier code	Copy from 2006/07													
A05	Learning aim data set sequence	<p>Calculate so that the sequence number starts at 1 for the first aim and increases to the value of L05 for the last aim.</p> <p>The aims should be sequenced in the same order as they were in 2006/07* and where they are the same number of aims in 2007/08 as in 2006/07 the sequence numbers for individual aims are not expected to change.</p> <p>*For example</p> <table> <thead> <tr> <th>Seq No</th> <th>Seq No</th> </tr> <tr> <th>2006/07</th> <th>2007/08</th> </tr> </thead> <tbody> <tr> <td>02</td> <td>01</td> </tr> <tr> <td>03</td> <td>02</td> </tr> <tr> <td>05</td> <td>03</td> </tr> <tr> <td>06</td> <td>04</td> </tr> </tbody> </table>	Seq No	Seq No	2006/07	2007/08	02	01	03	02	05	03	06	04	Where an ESF data set is present E05 will need to be recalculated at the same time and set to the same value as A05 on the associated learning aim
Seq No	Seq No														
2006/07	2007/08														
02	01														
03	02														
05	03														
06	04														
A06	ESF co-financing data sets	Copy from 2006/07													
A07	HE data sets	Copy from 2006/07													
A08	Data set format	Copy from 2006/07													

No.	Field Name	Migration rule	Notes
A09	Learning aim reference	Copy from 2006/07	
A10	LSC funding stream	Copy from 2006/07	
A15	Programme type	If A16 = 3 and A15<>10 then set A15 = 02. Otherwise copy from 2006/07	New validation rule to ensure consistency between A15 and A16
A16	Programme entry route	Copy from 2006/07	
A18	Main delivery method	Copy from 2006/07	
A23	Delivery location postcode	Copy from 2006/07	
A24	Occupation relating to learning aim	Copy from 2006/07	
A26	Sector framework of learning	Copy from 2006/07	
A27	Learning start date	Copy from 2006/07	
A28	Learning planned end date	Copy from 2006/07	
A31	Learning actual end date	Copy from 2006/07	
A32	Guided learning hours	Copy from 2006/07	
A34	Completion status	Copy from 2006/07	There are 2 new validation rules to ensure consistency between A34 and A35 for continuing learners. It is not possible to map data to new values and so a small number of records are likely to fail validation. These will need to be corrected by providers.
A35	Learning outcome	Copy from 2006/07	
A37	Number of units completed	Copy from 2006/07	
A38	Number of units to achieve full qualification	Copy from 2006/07	
A40	Achievement date	Copy from 2006/07	

No.	Field Name	Migration rule	Notes
A43	Sector framework achievement date	Copy from 2006/07	
A44	Employer identifier	Copy from 2006/07	
A45	Workplace location postcode	Copy from 2006/07	
A46	National learning aim monitoring (occurs 2)	<p>If A46a = 39, 40, 41, 42, 43, 44 or 45 set to 99</p> <p>If A46b = 39, 40, 41, 42, 43, 44 or 45 set to 99</p> <p>If A46a=99 and A46b <> 99, then set A46a = A46b and set A46b = 99.</p> <p>Otherwise copy from 2006/07</p>	<p>Unassigned codes 39 to 45 removed</p> <p>New validation rule added to ensure A46a is completed before A46b</p>
A47	Local learning aim monitoring (occurs2)	Copy from 2006/07	
A48	Provider specified learning aim data (occurs 2)	Copy from 2006/07	
A49	Special projects and pilots	Copy from 2006/07	
A50	Reason learning ended	Copy from 2006/07	
A51a	Proportion of funding remaining		
A53	Additional learning needs	Copy from 2006/07	
A54	Broker contract number	Copy from 2006/07	
A55	Unique learner number	Copy from 2006/07	
A56	UK Provider reference number	Set to the UKPRN for the 0708 UPIN as listed in PIMS	
A57	Source of tuition fees	Set to null	New field for 2006/07 for FE providers. Not for use by WBL/ESF SR

No.	Field Name	Migration rule	Notes												
E01	Provider number	If not a transfer Copy from 2006/07 otherwise set as per mapping spreadsheet	Where the provision has transferred mapping spreadsheet will be supplied.												
E02	Contract / Allocation type	Copy from 2006/07													
E03	Learner reference number	Copy from 2006/07 unless this will lead to a duplicate L01/L03 combination, in which case a new Learner Reference Number should be generated													
E04	Data set identifier code	Copy from 2006/07													
E05	Learning aim data set sequence	<p>Calculate so that the sequence number start at 1 for the first aim and increases to the value of L05 for the last aim.</p> <p>The aims should be sequenced in the same order as they were in 2006/07* and where they are the same number of aims in 2006/07 as in 2006/07 the sequence numbers for individual aims are not expected to change.</p> <p>*For example</p> <table data-bbox="624 1480 927 1727"> <thead> <tr> <th>Seq No</th> <th>Seq No</th> </tr> <tr> <th>2006/07</th> <th>2006/07</th> </tr> </thead> <tbody> <tr> <td>02</td> <td>01</td> </tr> <tr> <td>03</td> <td>02</td> </tr> <tr> <td>05</td> <td>03</td> </tr> <tr> <td>06</td> <td>04</td> </tr> </tbody> </table> <p>This should be done at the same time as field A05 is calculated and should match the value in A05 on the associated learning aim.</p>	Seq No	Seq No	2006/07	2006/07	02	01	03	02	05	03	06	04	
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No.	Field Name	Migration rule	Notes
E06	ESF co-financing data set sequence	Copy from 2006/07	
E07	HE data sets	Copy from 2006/07	
E08	Date started ESF co-financing	Copy from 2006/07	
E09	Planned end date for ESF co-financing	Copy from 2006/07	
E10	Date ended ESF co-financing	Copy from 2006/07	
E11	Industrial sector of learner's employer	Copy from 2006/07	
E12	Employment status on day before starting ESF project	Copy from 2006/07	
E13	Learner's employment status	Copy from 2006/07	
E14	Length of unemployment before starting ESF project	Copy from 2006/07	
E15	Type and size of learner's employer	Copy from 2006/07	
E16	Addressing gender stereotyping (occurs 5)	Copy from 2006/07	
E17	Main co-financing activity (occurs 5)	Copy from 2006/07	
E18	Delivery mode (occurs 4)	Copy from 2006/07	
E19	Support measures to be accessed by the learner (occurs 5)	Copy from 2006/07	
E20	Learner background (occurs 3)	Copy from 2006/07	
E21	Support measures for learners with disabilities	Copy from 2006/07	
E22	Project dossier number	Copy from 2006/07	

No.	Field Name	Migration rule	Notes
E23	Local project number	Copy from 2006/07	
E24	Unique learner number	Copy from 2006/07	
E25	UK Provider reference number	Set to the UKPRN for the 0708 UPIN as listed in PIMS	

Section B: Migration of ESF SR data.

- 5 This section covers the migration rules for ESF short record data.
- 6 All learner records that have at least one learning aim where actual end date A31 = null and all learning aim records where A31 = null should be migrated.

No.	Field Name	Migration Rule	Notes
L01	Provider number	If not a transfer copy from 2006/07 otherwise set as per mapping spreadsheet	Where the provision has transferred mapping spreadsheet will be supplied
L03	Learner reference number	Copy from 2006/07 unless this will lead to a duplicate L01/L03 combination, in which case a new Learner Reference Number should be generated	
L04	Data set identifier code	Copy from 2006/07	
L05	Learning aim data sets	Set to the number of aims migrated.	Where L05 changes as a result of migration fields A05 and E05 on the associated record and ESF dataset will need to be re-calculated
L06	ESF co-financing data sets	Copy from 2006/07	
L07	HE data sets	Copy from 2006/07	
L08	Deletion flag	Copy from 2006/07	
L09	Learner surname/family name	Copy from 2006/07	
L10	Learner forenames	Copy from 2006/07	
L11	Date of birth	Copy from 2006/07	
L12	Ethnicity	Copy from 2006/07	

No.	Field Name	Migration Rule	Notes
L13	Sex	Copy from 2006/07	
L14	Learning difficulties and / or disabilities and / or health problems	Copy from 2006/07	
L17	Home postcode	Copy from 2006/07	
L18	Address line 1	Copy from 2006/07	
L19	Address line 2	Copy from 2006/07	
L20	Address line 3	Copy from 2006/07	
L21	Address line 4	Copy from 2006/07	
L23	Telephone number	Copy from 2006/07, all spaces should be removed. The '+' symbol should be replaced by 00	To comply with MIAPP CDD the telephone number should be entered with no spaces
L25	LSC number of funding LSC	If not a transfer copy from 2006/07 otherwise set as per mapping spreadsheet	
L27	Restricted use indicator	Copy from 2006/07	
L35	Prior attainment level	Copy from 2006/07	
L39	Destination	Copy from 2006/07	
L41	Local learner monitoring (occurs 2)	Copy from 2006/07	
L42	Provider specified learner data (occurs 2)	Copy from 2006/07	
L45	Unique learner number	Copy from 2006/07	
L46	UK Provider reference number	Set to the UKPRN for the 0708 UPIN as listed in PIMS	
L47	Current Employer status	Set to null	New field for 2007/08 for WBL returns, not applicable for ESF SR returns
L48	Date Employment Status Changed	Set to null	New field for 2007/08 for WBL returns, not applicable for ESF SR returns

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A27	Learning start date	Copy from 2006/07	
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A31	Learning actual end date	Copy from 2006/07	
A34	Completion status	Copy from 2006/07	There are 2 new validation rules to ensure consistency between A34 and A35 for continuing learners. It is not possible to map data to new values and so a small number of records are likely to fail validation. These will need to be corrected by providers.
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